## IN THE VERMONT SUPERIOR COURT 1 WASHINGTON COUNTY CIVIL DIVISION 2 3 Juvenile #1 (N.B.), ) Case No. 192-4-19 Wncv Plaintiff, 4 ) Montpelier, Vermont 5 -against-) April 18, 2019 6 KENNETH SCHATZ, ) 9:08 AM Defendant. 7 8 TRANSCRIPT OF COURT TRIAL 9 BEFORE THE HONORABLE MARY MILES TEACHOUT, 10 SUPERIOR COURT JUDGE 11 APPEARANCES: 12 DAWN M. SEIBERT, ESQ. 13 KERRIE L. JOHNSON, ESQ. Attorney for the Plaintiff 14 DAVID R. MCLEAN, ESQ. 15 Attorney for the Defendant 16 ALSO PRESENT: 17 GEORGE BELCHER, Guardian ad Litem 18 19 eScribers, LLC Transcription Services: 20 7227 N. 16th Street Suite 207 21 Phoenix, AZ 85020 (973) 406-2250 22 PROCEEDINGS RECORDED BY ELECTRONIC SOUND RECORDING. 23 TRANSCRIPT PRODUCED BY TRANSCRIPTION SERVICE. 24



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way the question was asked.

Q. Okay. So do you --

THE COURT: And be sure to keep your voice up.

MS. JOHNSON: Yes. Sorry.

- Q. You had testified that you agree with the conclusion that putting a knee on the back can cause positional asphyxiation; is that correct?
  - A. Yes.
- Q. And you also stated that you had seen that in a video happen at Woodside?
  - A. Yes.
- Q. And do you recall how many times you've seen that happen in a video at Woodside?
- A. I've seen multiple instances in which pressure or weight was applied to the back or torso of an individual during a restraint. And that causes me to be extremely concerned about the possibility of positional asphyxiation which is the leading cause of death during restraints. And I've testified to the effect that I am concerned that there is a high risk of a child being killed in the course of one of these restraints, particularly if the child is overweight or has asthma, as additional factors.

I believe that it's a high risk. It's the number 1 cause of death in restraints. And it's the reason in most restraint modalities, you are trained never to apply weight to

the back or the torso of the person that you're restraining.

- Q. Okay. And with respect to the -- well, can you explain what positional asphyxiation is, for anyone who doesn't know what that term means?
- A. Yes. So it's a situation in which you are unable to breathe because of the fact that you cannot expand your ribcage and your lungs. And so you're basically already at risk for it, when you have somebody in a face-down position because they're against a hard surface. So that normally when you breathe, your accessory muscles expand your ribcage which allows your lungs to inflate. When you're laying on a hard surface, it's limiting the ability for that to happen.

If in addition you apply weight to the back of the ribcage and to the torso, it further restricts the person's ability to breathe. There's been over 142 instances of people dying in a restraint due to positional asphyxiation. So it's not a rare problem. And it's one of the reasons that the Retreat implemented a brand-new policy to move people from prone position and flip them to supine, in order to avoid the possibility of positional asphyxiation.

- Q. Okay. And would you say that's the most serious risk based on what you've observed in the videos and read in the reports and all of the other information you've reviewed?
- MR. MCLEAN: I object to this line of questioning as cumulative. We've already gone through with Dr. Bellonci

regarding positional asphyxiation.

THE WITNESS: It's a hard one.

MR. MCLEAN: And the concerns regarding restraints using pressure to the upper back or neck. That was discussed in detail.

THE COURT: Overruled. This question is building on other evidence but slightly different.

## BY MS. JOHNSON:

- Q. Have you seen restraints at Woodside where staff applied pressure to the head or neck?
  - A. Yes.
  - Q. And what is the risk with that?
- A. Again, so you're -- you run the risk of further impeding the individual's ability to breathe. So I've seen both, staff applying pressure to the head and neck and I've seen staff applying pressure against surfaces that could, again, impede the ability of the individual to breathe, which could lead to death. That's the -- that's the fear in positional asphyxiation. Particularly, if you have somebody who is triggered and traumatized and fighting, as I've repeatedly seen in these restraints, they're (sic) already have a limited ability to get -- catch their breath and get their breath. And then on top of that, applying pressure in that way does greatly enhance the risk of them basically not being able to breathe and then dying.

- Q. And with respect to the technique of extending the arms behind the back and twisting, you testified that there are risks of soft tissue injury and emotional trauma because of the use of pain. Do you have any other concerns about those techniques that you haven't already said?
- A. Yes. So again, the position of having the arms elevated up and applying force to them, also restricts your ability to breathe. It's one of the reasons that all of the restraint modalities I'm familiar with, always keep the arms against -- flat against the surface, never lift them up that way. It's one of the reasons that people suffocate in crucifixion because it raises your arms up and cuts off, again, your ability to expand your lungs and leads you to die from not being able to breathe.
- Q. And have you observed staff, either in videos that you've seen or reports you've read, staff pushing the feet into the buttocks?
  - A. Yes.

- Q. And are there any risks with that?
- A. Again, yes. You're applying a lot of pressure to the knee joints, obviously. And you can see in several of the videos, it is causing severe pain. You hear the child stating that it hurts, and so again, generally speaking, we avoid any restraint technique that induces pain. The point is not to induce pain. It's to safely hold the person until they can be